

EAUT

MUTUAL FUND SERIES

Marketing Profile
Actively Managed Mutual Fund

EMERGE ARK AUTONOMOUS TECH & ROBOTICS ETF

The investments of this fund may include: industrial innovation, robotics, drones, battery storage, electric vehicles, and autonomous vehicles.

FUND DESCRIPTION

“The new industrial revolution”

Companies within this fund are focused on, and are expected to, substantially benefit from the productivity of new machines and development of new products or services, technological improvements and advancements in scientific research related to, among other things, energy, automation and manufacturing, materials, transportation, and space exploration.

INVESTMENT OBJECTIVE

This actively managed seeks to achieve long-term growth of capital by investing primarily, under normal circumstances, in global equity securities of companies that are or are expected to be focused on the development of new products or services, technological improvements and advancements in scientific research related to disruptive innovation in energy, automation and manufacturing, materials and transportation.

WHY INVEST?

1. Exposure to innovation

Aims for thematic multi-cap exposure to innovative industrial elements, including: robotics, drones, battery storage, electric vehicles, and autonomous vehicles.

2. Growth potential

Aims to capture long-term growth with low correlation of relative returns to traditional growth strategies and negative correlation to value strategies.

3. Tool for diversification

Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.

4. Grounded in research

Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.

FUND DETAILS AS AT 30.12.22	
Series	A, F, O, D
Type	Equity Sector Weights
Currency	CAD/USD
Inception Date	04.10.2021 ¹
Management Fee (Series F & D)	0.8%
Management Fee (Series A)	1.8%
Management Fee (Series O)	Negotiable with Emerge Canada Inc.
Trailer Fees (Series A)	1.0% ²
Sales Commissions (Series A)	Upto 5% of purchase amount ³
Min. Investment (\$)	Based on fund series type ⁴
Typical number of holdings	36
Portfolio Manager	Emerge Canada Inc.
Sub-advisors	ARK Investment Management LLC Emerge Capital Management Inc. ⁵

TOP 10 HOLDINGS AS AT 30.12.22	
Trimble Inc.	8.4%
Tesla, Inc.	7.8%
Kratos Defense & Security Solutions, Inc.	7.8%
Iridium Communications Inc	7.1%
UiPath, Inc.	6.9%
Deere & Company	6.8%
Teradyne, Inc.	5.1%
AeroVironment, Inc.	4.5%
KOMATSU LTD	4.5%
Caterpillar, Inc.	2.5%

SECTOR WEIGHTS AS AT 30.12.22	
Industrials	44.6%
Information Technology	28.0%
Consumer Discretionary	16.5%
Communication Services	9.2%
Healthcare	1.7%

GEOGRAPHIC BREAK UP AS AT 30.12.22	
Americas	87.7%
Asia	9.2%
Middle East	2.0%
Europe	1.0%

FUND CODES AND TICKER			
	TICKER	CAD CODE	USD CODE
SERIES A	EAUTA	ECI500	ECI501
SERIES F	EAUTF	ECI510	ECI511
SERIES O	EAUTO	ECI520	ECI521
SERIES D	EAUTD	ECI530	ECI531



PORTFOLIO MANAGER (SUB-ADVISOR)

Catherine D. Wood

Chief Investment Officer

ARK Investment Management LLC

Catherine registered ARK Investment Management LLC (“ARK”) as an investment adviser with the U.S. Securities and Exchange Commission in January 2014. With over 40 years of experience identifying and investing in innovation, Catherine founded ARK to focus solely on disruptive innovation while adding new dimensions to research. Previously, she completed twelve years at AllianceBernstein as Chief Investment Officer of Global Thematic Strategies with over \$5 billion in assets under management. Before AllianceBernstein, she worked for eighteen years with Jennison Associates as Chief Economist, Equity Research Analyst, Portfolio Manager, and Director. Catherine started her career in Los Angeles at the Capital Group as an Assistant Economist.

