

EARK

MUTUAL FUND SERIES

Marketing Profile
Actively Managed Mutual Fund

EMERGE ARK GLOBAL DISRUPTIVE INNOVATION ETF

The investments of this fund include: genomics, e-commerce, artificial intelligence, electric & autonomous vehicles, and robotics.

FUND DESCRIPTION

“The cornerstone themes of disruptive innovation”

Companies within this fund are expected to focus on scientific developments, improvements and advancements in genomics; artificial intelligence, including deep learning and cloud technology; autonomous technology in energy, automation and manufacturing, materials and transportation.

INVESTMENT OBJECTIVE

This fund may be suitable for investors seeking exposure to companies focused on disruptive innovation through companies that are expected to be focused on scientific developments, improvements and advancements in genomics; artificial intelligence, including deep learning and cloud technology; autonomous technology in energy, automation and manufacturing, materials and transportation.

WHY INVEST?

1. Exposure to innovation

Aims for thematic multi-cap exposure to innovation across sectors. ARK believes the securities held in this fund present the best risk-reward opportunities from ARK’s innovation based themes.

2. Growth potential

Aims to capture long-term growth with a low correlation of relative returns to traditional growth strategies and negative correlation to value strategies.

3. Tool for diversification

Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.

4. Grounded in research

Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.

FUND DETAILS AS AT 30.12.22	
Series	A, F, O, D
Type	Equity Sector Weights
Currency	CAD/USD
Inception Date	04.10.2021 ¹
Management Fee (Series F & D)	0.8%
Management Fee (Series A)	1.8%
Management Fee (Series O)	Negotiable with Emerge Canada Inc.
Trailer Fees (Series A)	1.0% ²
Sales Commissions (Series A)	Upto 5% of purchase amount ³
Min. Investment (\$)	Based on fund series type ⁴
Typical number of holdings	30
Portfolio Manager	Emerge Canada Inc.
Sub-advisors	ARK Investment Management LLC Emerge Capital Management Inc. ⁵

TOP 10 HOLDINGS AS AT 30.12.22	
Zoom Video Communications, Inc.	9.7%
Exact Sciences Corporation	7.9%
Tesla, Inc.	6.4%
Block, Inc.	6.3%
Roku, Inc.	6.2%
UiPath, Inc.	5.6%
Shopify Inc.	4.8%
Teladoc Health, Inc.	4.5%
Twilio Inc.	4.1%
Beam Therapeutics Inc.	3.9%

SECTOR WEIGHTS AS AT 30.12.22	
Information Technology	44.5%
Healthcare	36.4%
Consumer Discretionary	9.5%
Communication Services	6.2%
Financials	3.4%

GEOGRAPHIC BREAK UP AS AT 30.12.22	
Americas	95.9%
Europe	4.1%

FUND CODES AND TICKER			
	TICKER	CAD CODE	USD CODE
SERIES A	EARKA	ECI100	ECI101
SERIES F	EARKF	ECI110	ECI111
SERIES O	EARKO	ECI120	ECI121
SERIES D	EARKD	ECI130	ECI131



PORTFOLIO MANAGER (SUB-ADVISOR)

Catherine D. Wood

Chief Investment Officer

ARK Investment Management LLC

Catherine registered ARK Investment Management LLC (“ARK”) as an investment adviser with the U.S. Securities and Exchange Commission in January 2014. With over 40 years of experience identifying and investing in innovation, Catherine founded ARK to focus solely on disruptive innovation while adding new dimensions to research. Previously, she completed twelve years at AllianceBernstein as Chief Investment Officer of Global Thematic Strategies with over \$5 billion in assets under management. Before AllianceBernstein, she worked for eighteen years with Jennison Associates as Chief Economist, Equity Research Analyst, Portfolio Manager, and Director. Catherine started her career in Los Angeles at the Capital Group as an Assistant Economist.

