

### FUND DESCRIPTION

Based on ARK Invest's Research:

**"The Space Industry is Taking Off" <sup>1</sup>**

Companies within this fund are leading, enabling or benefitting from technologically enabled products and/or services that occur beyond the surface of the Earth. ARK Invest believes, based on their research, that space exploration companies can be grouped into four overarching categories, each of which contains relevant sub-elements: orbital aerospace companies; suborbital aerospace companies; enabling technologies companies; and aerospace beneficiary companies.

### INVESTMENT OBJECTIVE

This actively-managed fund seeks to achieve long-term growth of capital by investing primarily, under normal circumstances, in global equity securities of companies that are or, are expected to be, focused on leading, enabling, or benefitting from technologically enabled products and/or services that occur beyond the surface of the Earth.

### WHY INVEST?

#### 1. Exposure to innovation

Aims for thematic multi-cap exposure to innovative technologies, including: orbital and sub-orbital aerospace, enabling technologies, and beneficiaries of aerospace activities, such as agriculture, internet access, global positioning system (GPS), construction, and imaging.

#### 2. Growth potential

Aims to capture long-term growth with low correlation of relative returns to traditional growth strategies and negative correlation to value strategies.

#### 3. Tool for diversification

Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.

#### 4. Grounded in research

Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.

FUND DETAILS AS AT 30.09.22	
Series	A, F, O, D
Type	Equity Sector Weights
Currency	CAD/USD
Inception Date	04.10.2021 <sup>1</sup>
Management Fee [Series F & D]	0.8%
Management Fee [Series A]	1.8%
Management Fee [Series O]	Negotiable with Emerge Canada Inc.
Trailer Fees [Series A]	1.0% <sup>2</sup>
Sales Commissions [Series A]	Upto 5% of purchase amount <sup>3</sup>
Min. Investment (\$)	Based on fund series type <sup>4</sup>
Typical number of holdings	35-50
Portfolio Manager	Emerge Canada Inc.
Sub-advisors	ARK Investment Management LLC Emerge Capital Management Inc. <sup>5</sup>

TOP 10 HOLDINGS AS AT 30.09.22	
Trimble Inc.	10.2%
Iridium Communications Inc	7.8%
AeroVironment, Inc.	7.3%
Kratos Defense & Security Solutions, Inc.	6.8%
L3Harris Technologies, Inc.	6.1%
KOMATSU LTD	4.1%
Deere & Company	3.2%
Velo3D, Inc.	3.1%
DASSAULT SYSTEMES SA	3.1%
UiPath, Inc.	2.9%

SECTOR WEIGHTS AS AT 30.09.22	
Industrials	60.6%
Information Technology	23.3%
Communication Services	13.0%
Consumer Discretionary	2.9%

GEOGRAPHIC ALLOCATION AS AT 30.09.22	
Americas	79.3%
Europe	10.3%
Asia	6.8%
Middle East	3.5%



#### PORTFOLIO MANAGER (SUB-ADVISOR)

**Catherine D. Wood**

Chief Investment Officer

ARK Investment Management LLC

Catherine registered ARK Investment Management LLC ("ARK") as an investment adviser with the U.S. Securities and Exchange Commission in January 2014. With over 40 years of experience identifying and investing in innovation, Catherine founded ARK to focus solely on disruptive innovation while adding new dimensions to research. Previously, she completed twelve years at AllianceBernstein as Chief Investment Officer of Global Thematic Strategies with over \$5 billion in assets under management. Before AllianceBernstein, she worked for eighteen years with Jennison Associates as Chief Economist, Equity Research Analyst, Portfolio Manager, and Director. Catherine started her career in Los Angeles at the Capital Group as an Assistant Economist.

### FUND CODES AND TICKER

	TICKER	CAD CODE	USD CODE
<b>SERIES A</b>	EAXPA	ECI600	ECI601
<b>SERIES F</b>	EAXPF	ECI610	ECI611
<b>SERIES O</b>	EAXPO	ECI620	ECI621
<b>SERIES D</b>	EAXPD	ECI630	ECI631

