

EAF^T

MUTUAL FUND SERIES A, F & O

Marketing Profile
Actively Managed Mutual Fund

EMERGE ARK FINTECH INNOVATION ETF

The investments of this fund may include: e-commerce, digital wallets, and global payments.

FUND DESCRIPTION

“The financial transformation”

Companies within this fund are expected to benefit from the introduction of technologically enabled new products or services that potentially change the way the financial sector works and may develop, use, or rely on e-commerce, digital wallets, and global payments.

INVESTMENT OBJECTIVE

This actively managed fund seeks to achieve long-term growth of capital by investing primarily, under normal circumstances, in global equity securities of companies that are or are expected to be focused on financial technology innovation, including the shift of the financial sector and economic transactions towards payment infrastructure platforms and technically enabled web-based intermediaries.

WHY INVEST?

1. Exposure to innovation

Aims for thematic multi-cap exposure to fintech innovations, including: e-commerce, digital wallets, and global payments.

2. Growth potential

Aims to capture long-term growth with a low correlation of relative returns to traditional growth strategies and negative correlation to value strategies.

3. Tool for diversification

Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.

4. Grounded in research

Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.

FUND DETAILS AS AT 30.06.22

Series	A, F, O
Type	Equity Sector Weights
Currency	CAD/USD
Inception Date	04.10.2021 ¹
Management Fee [Series A & F]	0.8%
Management Fee [Series O]	Negotiable with Emerge Canada Inc.
Trailer Fees [Series A]	1.0% ²
Sales Commissions [Series A]	Upto 5% of purchase amount ³
Min. Investment (\$)	Based on fund series type ⁴
Typical number of holdings	35-50
Portfolio Manager	Emerge Canada Inc.
Sub-advisers	ARK Investment Management LLC Emerge Capital Management Inc. ⁵

TOP 10 HOLDINGS AS AT 30.06.22

Block, Inc.	10.0%
Shopify Inc.	9.6%
Twilio Inc.	8.1%
Uipath, Inc.	7.5%
Coinbase Global, Inc.	6.4%
Discovery Ltd	5.7%
Robinhood Markets, Inc.	5.5%
Adyen Nv Ord	5.5%
Mercadolibre, Inc.	5.4%
Draftkings Inc.	3.9%

SECTOR WEIGHTS AS AT 30.06.22

Information Technology	58.7%
Financials	19.1%
Consumer Discretionary	12.1%
Communication Services	5.0%
Healthcare	3.6%

GEOGRAPHIC ALLOCATION AS AT 30.06.22

Americas	75.4%
Asia	8.9%
Africa	5.7%
Europe	5.5%
Middle East	2.4%
United Kingdom	0.7%

FUND CODES AND TICKER

	TICKER	CAD CODE	USD CODE
SERIES A	EAF ^T A	ECI300	ECI301
SERIES F	EAF ^T F	ECI310	ECI311
SERIES O	EAF ^T O	ECI320	ECI321



PORTFOLIO MANAGER (SUB-ADVISOR)

Catherine D. Wood

Chief Investment Officer

ARK Investment Management LLC

Catherine registered ARK Investment Management LLC (“ARK”) as an investment adviser with the U.S. Securities and Exchange Commission in January 2014. With over 40 years of experience identifying and investing in innovation, Catherine founded ARK to focus solely on disruptive innovation while adding new dimensions to research. Previously, she completed twelve years at AllianceBernstein as Chief Investment Officer of Global Thematic Strategies with over \$5 billion in assets under management. Before AllianceBernstein, she worked for eighteen years with Jennison Associates as Chief Economist, Equity Research Analyst, Portfolio Manager, and Director. Catherine started her career in Los Angeles at the Capital Group as an Assistant Economist.

